

APPENDIX A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward O. Clapper	§	Group Art Unit: 2645
	§	
Serial No.: 10/081,819	§	
	§	Examiner: Olisa Anwah
Filed: February 22, 2002	§	
	§	
For: Providing Information to	§	Atty. Dkt. No.: ITL.0694US
Facilitate Telephone	§	(P13225)
Conversations	§	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Declaration under 37 C.F.R. 1.131

I, Timothy N. Trop, declare as follows:

- 1.) I am a patent attorney with Trop, Pruner & Hu, P.C., 8554 Katy Freeway, Suite 100, Houston, TX 77024, and am qualified to make this declaration based on personal knowledge of the facts declared herein.
- 2.) An invention disclosure form corresponding to the above-entitled patent application was received in our office on or about October 2, 2001 from Intel Corporation ("Intel"), assignee of the above-entitled application. Attached hereto as Exhibit A is a true and correct copy of this invention disclosure form.
- 3.) While the dates showing the date of creation and the date the invention disclosure document was received in the Intel legal department have been redacted, these dates are prior to the September 28, 2001 filing date of U.S. Patent Application Publication US 2003/0063732 A1 and the October 10, 2001 publication date of European Patent Application

EP 1143667A2. More so, the invention disclosure form was received with a corresponding Intel data sheet (attached at Exhibit A, p. 4), indicating that the above-entitled application was opened and assigned to Trop, Pruner & Hu, P.C. by Intel on September 28, 2001.

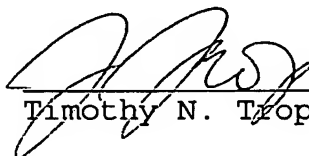
- 4.) As discussed above, the invention disclosure form of Exhibit A was received in our office on or about October 2, 2001. At the time of receipt, I maintained a reasonable backlog of unrelated patent applications to be written. I worked with a patent agent trainee on this application.
- 5.) The patent agent trainee prepared many drafts of the specification and claims for the above-entitled application which I reviewed and revised. Attached hereto as Exhibit B is a true and correct copy of a printout from our computer system showing a list of computer files directed to the above-entitled application prepared by the patent agent trainee.
- 6.) I sent a draft of the patent application to the inventor and the Intel legal department on February 19, 2002, as evidenced by a cover letter accompanying the draft, a true and correct copy of which is attached hereto as Exhibit C.
- 7.) The inventor reviewed the draft patent application diligently, and executed a declaration for the above-entitled application on February 22, 2002, three days later.
- 8.) The above-entitled application was filed that same day, February 22, 2002.

9.) Thus the above-entitled application was diligently worked on from the time it was received in our office on or about October 2, 2001 until it was filed on February 22, 2002.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: \_\_\_\_\_

1/30/04

  
\_\_\_\_\_  
Timothy N. Tzop

INTEL CONFIDENTIALP13225  
21569**INTEL INVENTION DISCLOSURE**  
**ATTORNEY-CLIENT PRIVILEGED COMMUNICATION**DATE: REDACTEDconnected  
Products / NCG / HPG

It is important to provide accurate and detailed information on this form. The information will be used to evaluate your invention for possible filing as a patent application. When completed and signed, please return this form to the Legal Department at JF3-147. If you have any questions, please call 264-0444.

1. Inventor CLAPPER EDWARD O  
Last Name First Name Middle Initial  
Phone 480.554.4579 M/S: CH6-302 Fax # 503.210.9227  
Citizenship: UNITED STATES WWID 10582543 Contractor: YES NO X  
Inventor e-mail Address: edward.o.clapper@intel.com  
Home Address: 101 EAST RIVIERA DRIVE  
City TEMPE State: AZ Zip 85226 Country UNITED STATES  
\*Corporate Level Group (e.g. IABG, NCG, CEG): NBG Division: HPG Subdivision: HW ENG

**\*If you are unsure of this information, please discuss with your manager.  
(PROVIDE SAME INFORMATION AS ABOVE FOR EACH ADDITIONAL INVENTOR)**

2. Title of Invention: Extended PC - Rolodex Peripheral
3. What technology/product/process (code name) does it relate to (be specific if you can):  
information appliances, extended PC, connected products
4. Include several key words to describe the technology area of the invention in addition to # 3 above:  
address, caller ID, phone, module, hardware, peripheral, Internet, communicate, phone number, store, retrieve
5. Stage of development (i.e. % complete, simulations done, test chips if any, etc.)  
0%
6. (a) Has a description of your invention been, or will it shortly be, published outside Intel:  
NO X YES: \_\_\_\_\_ If YES, was the manuscript submitted for pre-publication approval: \_\_\_\_\_  
IDENTIFY THE PUBLICATION AND THE DATE PUBLISHED: \_\_\_\_\_
- (b) Has your invention been used/sold or planned to be used/sold by Intel or others?  
NO: X YES: \_\_\_\_\_ DATE WAS OR WILL BE SOLD: \_\_\_\_\_
- (c) Does this invention relate to technology that is or will be covered by a SIG (special interest group)/standard/ or specification?  
NO: X YES: \_\_\_\_\_ Name of SIG/Standard/Specification: \_\_\_\_\_
- (d) If the invention is embodied in a semiconductor device, actual or anticipated date of tapeout? \_\_\_\_\_
- (e) If the invention is software, actual or anticipated date of any beta tests outside Intel \_\_\_\_\_
7. Was the invention conceived or constructed in collaboration with anyone other than an Intel blue badge employee or in performance of a project involving entities other than Intel, e.g. government, other companies, universities or consortia? NO: X YES: \_\_\_\_\_ Name of individual or entity: \_\_\_\_\_
8. Is this invention related to any other invention disclosure that you have recently submitted? If so, please give the title and inventors: \_\_\_\_\_

**RECEIVED****REDACTED****PATENT DATABASE GROUP  
INTEL LEGAL TEAM**

DESCRIPTION: Extended PC - Rolodex Peripheral

This disclosure is a proposal for extending the PC's presence even when the PC is not in use or powered on. While some people keep contact data in their computer via various applications, it is often necessary to have phone numbers, addresses, etc., handy in household locations that are remote from the computer, or at times when the computer is not activated. Most people needing a phone number will not fire up their computer to get it. As a result, people still resort to the old-fashioned Rolodex®, or similar means – even the simple (but not very elegant) solution of keeping a hard copy printout of the common names, numbers and addresses one might need.

The proposed device extends the PC's management power for such data, while freeing the user from actually requiring the PC during inconvenient times; it also frees the user from needing hardcopies or phone books for people in their contact listing. Lastly, some embodiments may be envisioned where the product is actually hardware supported by a service. In this case, a phone company (for example) may supply complete address, email, names, numbers and even information such as birthdays, etc. as a record in the device at the user's request. All that is required is for the user to make a call or receive one to/from the contact in question. The service does the rest – while you are on the phone! I think that a possible name for it might be "i.Dex".

Refer to Figures for the following feature list and usage model:

## 1. Technology:

- Caller ID
- Communications relationship with a PC (wires or wireless)
- Local data storage with synchronization capability to a PC application over local connection.
- Some embodiments may include a printing module (technology may vary), to produce labels.

## 2. Claim-centric concepts:

- An apparatus comprised of a processor, memory, power supply, display, user interface, telephone interface, and computer connection interface. The apparatus contains independent means of locally storing data indefinitely, as well as local controls for manipulating the data.
- A means by which incoming CallerID data may be stored in the device for upload to a PC or processing system.
- A means by which dialed telephone numbers may be captured by the device and stored for upload to a PC or processing system.
- A means by which the device may communicate with a PC or a network in order to exchange data.
- A software application that manages the upload and download of data between the device and a processor-based system or network.
- A PC-resident application that retrieves extended information from a data source such as the Internet, using seed data from the device; examples of seed data include CallerID name and number, and numbers dialed from the device residence. Examples of extended data include address, publicly available personal data, inferred email addresses, etc. found to be relevantly associated with the seed data.
- A means by which the extended data and seed data may be formatted, either in the PC application, the device interface or both.
- A means by which the full data record (extended data, seed data, and possibly call logging) may be manipulated by the user, affecting the data record resident in the PC, the device, or both.

## 3. Advantages over current methods and benefits to Intel:

- May be sold independent of a PC, and used to stimulate interest in PC advantages for consumers who have not yet purchased a computer.
- Operates stand-alone; database is synchronized to a PC as available, but is not necessary in order to be valuable. Most connected devices in this type of category do not have functionality when the PC is powered down. This device is self-contained, with connectivity as a distinct advantage.
- May be packaged with phone services, providing extended information automatically to the device over data or Caller ID protocols.
- Intel is promoting the concept of the "Extended PC". This device is a very useful example of how the PC may be extended within the home.
- Development of such a product should be fairly inexpensive, as it is specifically featured for limited functionality.

4. Prior art:
  - None observed.
5. Infringement detection and partnership options:
  - Partnerships with Rolodex®, or other brands in this space would be valuable.
  - Infringement may be detected by determining if the device in question is able to query a phone system and/or computer for remotely stored contact data. In addition, the capability to independently store and manipulate such data in the device itself is part of the configuration's advantages.

Figure 1 – POTENTIAL EMBODIMENT

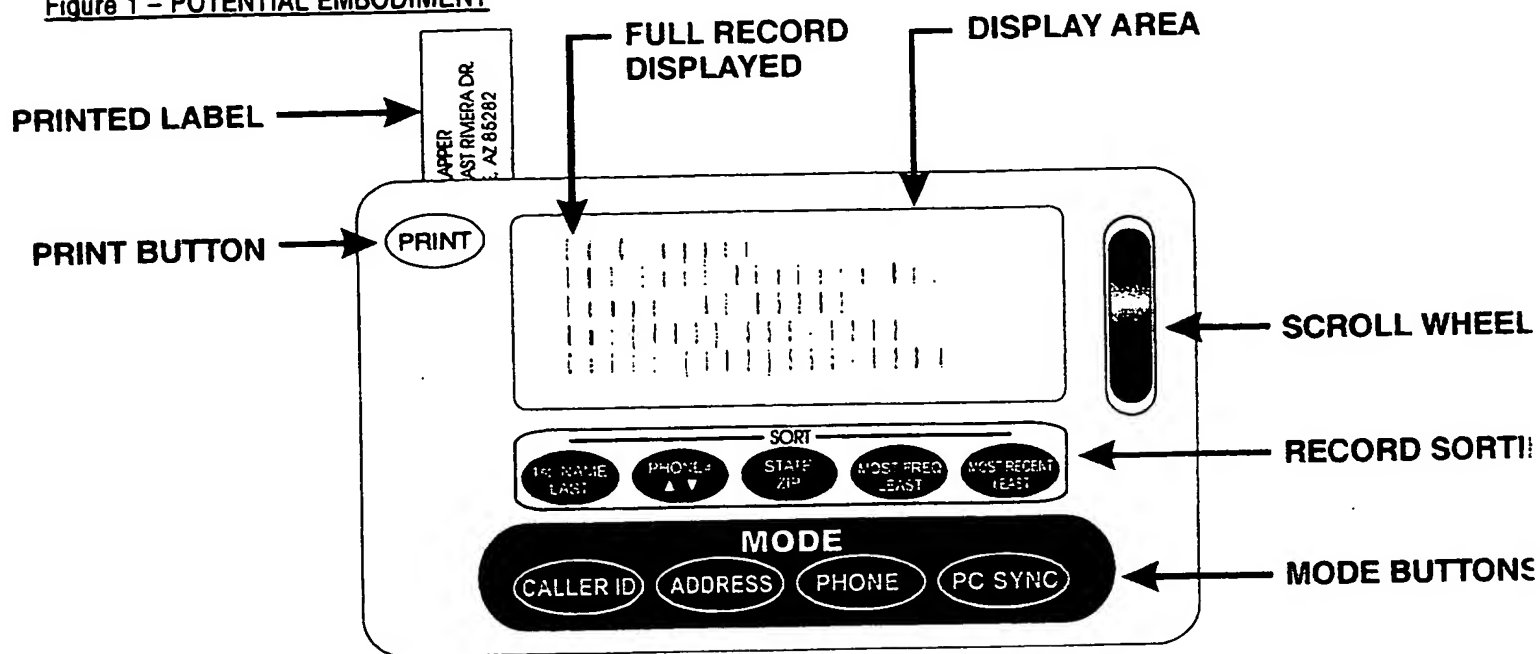
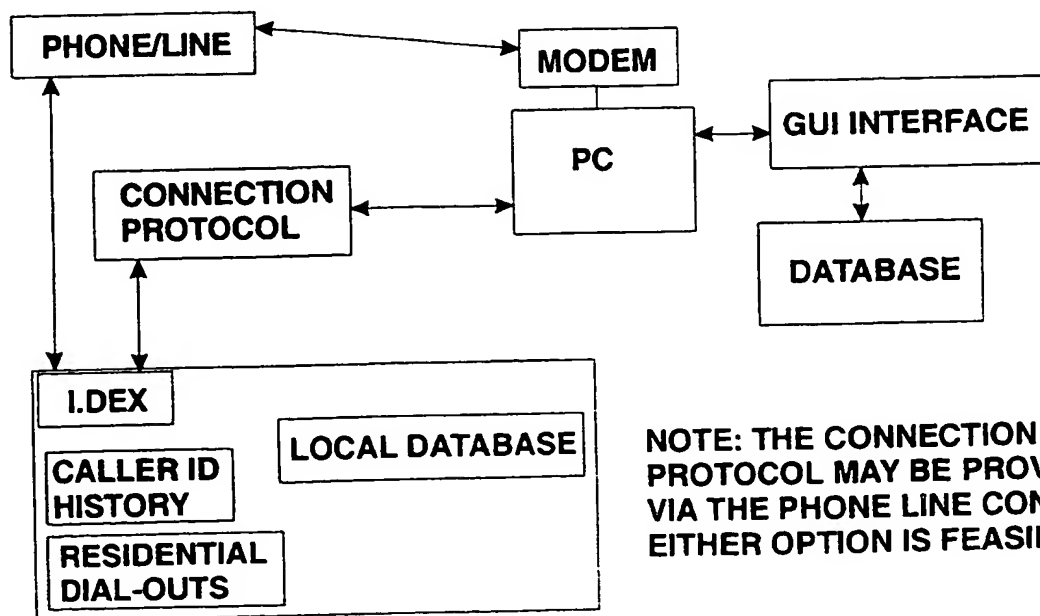


Figure 2 – BASIC SYSTEM DIAGRAM



NOTE: THE CONNECTION PROTOCOL MAY BE PROVIDED VIA THE PHONE LINE CONNECTION. EITHER OPTION IS FEASIBLE

**PLEASE READ AND FOLLOW THE DIRECTIONS ON  
HOW TO WRITE A DESCRIPTION OF YOUR INVENTION**

**Please attach a description of the invention to this form, DATED AND SIGNED BY AT LEAST ONE PERSON WHO IS NOT A NAMED INVENTOR, and include the following information:**

- 1. Describe in detail what the components of the invention are and how the invention works.**
- 2. Describe advantage(s) of your invention over what is done now.**
- 3. YOU MUST include at least one figure illustrating the invention. If the invention relates to software, include a flowchart or pseudo-code representation of the algorithm.**
- 4. Value of your invention to Intel (how will it be used?).**
- 5. Explain how your invention is novel. If the technology itself is not new, explain what makes it different.**
- 6. Identify the closest or most pertinent prior art that you are aware of.**
- 7. Who is likely to want to use this invention or infringe the patent if one is obtained and how would infringement be detected?**

**\*HAVE YOUR SUPERVISOR READ, DATE AND SIGN COMPLETED FORM**

**DATE:** \_\_\_\_\_ **SUPERVISOR:** \_\_\_\_\_

**BY THIS SIGNING, I (SUPERVISOR) ACKNOWLEDGE THAT I HAVE READ AND UNDERSTAND THIS DISCLOSURE, AND RECOMMEND THAT THE HONORARIUM BE PAID**

Matter Status: IN PROCESS

**TYPE OF INTEL PATENT APPLICATION FILE**\*Patent: ☐ Utility ☐ Design ☐ Reissue ☐ Reexam ☐ CPA (C) ☐ CIP (X) ☐ Divisional (D)Title of File: EXTENDED PC - ROLODEX PERIPHERAL**INTEL DISCLOSURE AND FOREIGN FILING INFORMATION**

\*Disclosure number(s): 21569

\*Product/Process: INFORMATION APPLIANCES, EXTENDED PC, CONNECTED PRODUCTS

Intel Committee: CONNECTED PRODUCTS

Intel Group: NCG

Intel Division: HPG

F-Filing: SELECTED

Ctry: TW; PCT; JP; KR; GB; DE; HK; CN; NL; DK

Notes: P13225 (21569) OPENED &amp; ASSIGNED TO TPH PER JB EMAIL 9/28/01 AL

**\*INTEL ABSTRACT CODES (Check One or More)**

<input type="checkbox"/> PROCESS (C1)		<input type="checkbox"/> Buses Input/Output Devices (CSB)	<input type="checkbox"/> General Circuit (C14)
<input type="checkbox"/> N or P MOS (C1A)		<input type="checkbox"/> Protocol/CPU Interfacing (C5C)	<input type="checkbox"/> Peripherals (C15)
<input type="checkbox"/> Equipment (C1B)		<input type="checkbox"/> Adder/Multiplier Units (C5D)	<input type="checkbox"/> ROM (C16)
<input type="checkbox"/> CMOS (C1C)		<input type="checkbox"/> Numeric (C5E)	<input type="checkbox"/> Timing Clocks (C17)
<input type="checkbox"/> Contacts (C1D)		<input type="checkbox"/> Video/Graphics (C5F)	<input type="checkbox"/> Power/Regulation (C18)
<input type="checkbox"/> Flash (C1E)		<input type="checkbox"/> Cache/memory Hierarchy/ (C5G)	<input type="checkbox"/> Networks (C19)
<input type="checkbox"/> GaAs and SOS (C1F)		<input type="checkbox"/> Memory/Virtual Memory (C5H)	<input type="checkbox"/> PLD (C20)
<input type="checkbox"/> Circuit element (C1G)		<input type="checkbox"/> Memory Management/ (C5I)	<input type="checkbox"/> Compression/Decompression (C21)
<input type="checkbox"/> Isolation/Insulation (C1H)		<input type="checkbox"/> Protection/Addressing (C5J)	<input type="checkbox"/> Video/Graphics/Audio (C22)
<input type="checkbox"/> BiCMOS (C1I)		<input type="checkbox"/> Instruction/Inst. Decoding/ (C5K)	<input type="checkbox"/> Algorithm (C22A)
<input type="checkbox"/> Analysis/Testing (C1J)		<input type="checkbox"/> Microcoding/Sequencing/ (C5L)	<input type="checkbox"/> System (C22B)
<input type="checkbox"/> Etching/Planarization (C1K)		<input type="checkbox"/> Microprogrammed Control (C5M)	<input type="checkbox"/> Sensor (C22C)
<input type="checkbox"/> Metal (C1L)		<input type="checkbox"/> Pipeline/Parallelism (C5N)	<input type="checkbox"/> Optics (C22D)
<input type="checkbox"/> Poly silicon (C1M)		<input type="checkbox"/> Clocking/Clock Generation/ (C5O)	<input type="checkbox"/> 3D (C22E)
<input type="checkbox"/> Passivation (C1N)		<input type="checkbox"/> Clock Multiplication (C5P)	<input type="checkbox"/> Display (C22F)
<input type="checkbox"/> Masking/Resist (C1O)		<input type="checkbox"/> Addressing/Addressing (C5Q)	<input type="checkbox"/> Graphics Device (C22G)
<input type="checkbox"/> Deposition (C1P)		<input type="checkbox"/> Modes (C5R)	<input type="checkbox"/> Test Equipment (C23)
<input type="checkbox"/> Implantation (C1Q)		<input type="checkbox"/> Vector Processing (C5S)	<input type="checkbox"/> Video Teleconferencing (C24)
<input type="checkbox"/> DRAMs (C2)		<input type="checkbox"/> Registers/Files/Stacks (C5T)	<input type="checkbox"/> Communication (C25)
<input type="checkbox"/> Sense amp (C2A)		<input type="checkbox"/> Multiprocessing/Dual (C5U)	<input type="checkbox"/> Software (C26)
<input type="checkbox"/> SRAMs (C3)		<input type="checkbox"/> Initialization/Testing/ (C5V)	<input type="checkbox"/> Graphics (C26A)
<input type="checkbox"/> Sense amp (C3A)		<input type="checkbox"/> Debugging (C5W)	<input type="checkbox"/> Audio (C26B)
<input type="checkbox"/> EPROMs (C4)		<input type="checkbox"/> Program/Program Control/ (C5X)	<input type="checkbox"/> Compiler (C26C)
<input type="checkbox"/> P-channel (C4A)		<input type="checkbox"/> Interrupt/Status/Faults (C5Y)	<input type="checkbox"/> Operating System (C26D)
<input type="checkbox"/> N-channel (C4B)		<input type="checkbox"/> Exceptions (C5Z)	<input type="checkbox"/> Drivers (C26E)
<input type="checkbox"/> Flash (C4C)		<input type="checkbox"/> RISC (C5R)	<input type="checkbox"/> Other (C26F)
<input type="checkbox"/> EE (C4D)		<input type="checkbox"/> Redundancy (C5S)	<input type="checkbox"/> IAL (C27)
<input type="checkbox"/> Sense amp (C4E)		<input type="checkbox"/> SYSTEMS (C6)	<input type="checkbox"/> Internet/WWW Applications (C27A)
<input type="checkbox"/> Solid-State disk (C4F)		<input type="checkbox"/> Bus (C6A)	<input type="checkbox"/> Java Apps. (C27B)
<input type="checkbox"/> Flash Card (PCMCIA) (C4G)		<input type="checkbox"/> Supercomputers (parallel (C6B)	<input type="checkbox"/> User Interfaces Consumer (C27C)
<input type="checkbox"/> Multibit Cell (C4H)		<input type="checkbox"/> multiprocessors) (C6C)	<input type="checkbox"/> Appliances Portable (C27D)
<input type="checkbox"/> Redundancy (C4I)		<input type="checkbox"/> Compilers (C6D)	<input type="checkbox"/> Computing (C27E)
<input type="checkbox"/> Blocking (C4J)		<input type="checkbox"/> Test Equipment (ICE) (C6E)	<input type="checkbox"/> Compilers (C28)
<input type="checkbox"/> Write Automation (C4K)		<input type="checkbox"/> BIOS (C6F)	<input type="checkbox"/> Java Compilers (C28A)
<input type="checkbox"/> Minicard (C4L)		<input type="checkbox"/> PCMCIA (thin removable (C6G)	<input type="checkbox"/> Java Just-in-Time (C28B)
<input type="checkbox"/> Camera (C4M)		<input type="checkbox"/> functionality cards, i.e., (C6H)	<input type="checkbox"/> IA64 Compilers (C28C)
<input type="checkbox"/> FMM (C4N)		<input type="checkbox"/> memory, modem, network, (C6I)	<input type="checkbox"/> Optimization (C28D)
<input type="checkbox"/> Firmware Hub (FWH) (C4O)		<input type="checkbox"/> etc.) (C6J)	<input type="checkbox"/> Circuits (C29)
<input type="checkbox"/> Security (C4P)		<input type="checkbox"/> Magnetics (bubble (C7)	<input type="checkbox"/> New Logic Family (C29A)
<input type="checkbox"/> Small Block (C4Q)		<input type="checkbox"/> memories) (C7)	<input type="checkbox"/> Data Path (C29B)
<input type="checkbox"/> FDI (C4R)		<input type="checkbox"/> Buffers (C8)	<input type="checkbox"/> Chipsets (C30)
<input type="checkbox"/> Interface (C4S)		<input type="checkbox"/> Packaging/Mounting/ (C9)	<input type="checkbox"/> Memory Control (C30A)
<input type="checkbox"/> Connector (C4T)		<input type="checkbox"/> Connector (C9)	<input type="checkbox"/> Bridging (C30B)
<input type="checkbox"/> Cell Phone (C4U)		<input type="checkbox"/> Logic (C10)	<input type="checkbox"/> Firmware Hub (C30C)
<input type="checkbox"/> Charge Pump (C4V)		<input type="checkbox"/> Neural (C11)	<input type="checkbox"/> Design Tools (C31)
<input type="checkbox"/> Audio (C4W)		<input type="checkbox"/> Miscellaneous (C12)	<input type="checkbox"/> Circuits (C31A)
<input type="checkbox"/> Microprocessor (C5)		<input type="checkbox"/> General Memories (C13)	<input type="checkbox"/> Layout (C31B)
<input type="checkbox"/> Embedded (C5A)		<input type="checkbox"/> Redundancy (C13A)	<input type="checkbox"/> Logic (C31C)
		<input type="checkbox"/> Rambus-compatible (C13B)	<input type="checkbox"/> Validation/Test (C31D)
			<input type="checkbox"/> Low Power (C31E)

continued next page...

\*Mandatory for original patent application. File will not be opened unless mandatory information is provided.

INTL-0694-US (P13225)

INTEL CORP. - CPD

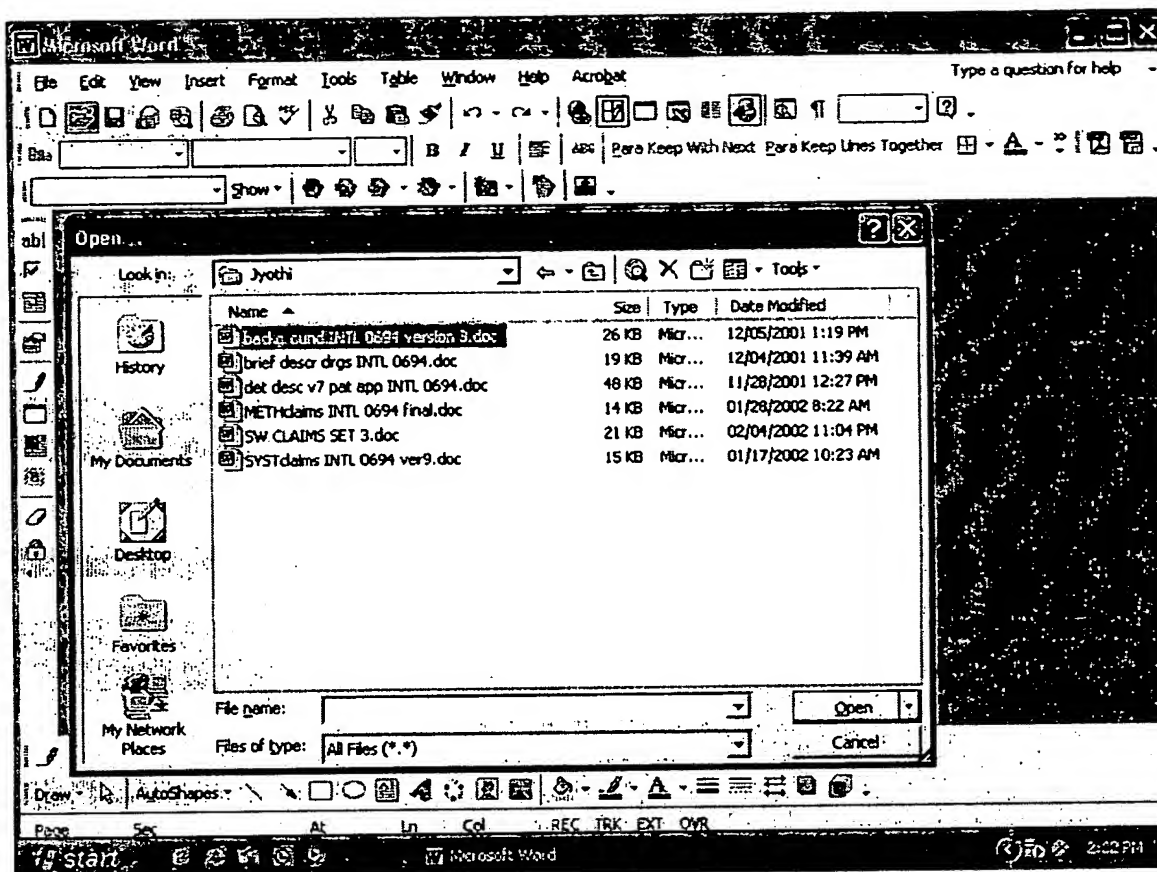
(TNT/\_\_\_\_\_)

\*INTEL ABSTRAC

EXTENDED PC - ROLODEX PERIPHERAL

FF - YES





TROP, PRUNER & HU, P.C.

INTELLECTUAL PROPERTY LAW ATTORNEYS

8554 Katy Freeway, Suite 100  
Houston, Texas 77024

Business: (713) 468-8880  
Facsimile: (713) 468-8883

February 19, 2002

VIA UPS

Ms. Janice Boulden  
Intel Corporation  
2111 NE 25<sup>th</sup> Street, M/S #JF3-147  
Hillsboro, Oregon 97124

Re: U.S. Patent Application  
Entitled *Providing Information To Facilitate Telephone Conversations*  
Intel's ref: P13225  
Our ref: ITL.0694US

Dear Janice:

Enclosed for quality review are the IPQRC form, the ten point checklist, a copy of the original disclosure and a draft of the above-referenced patent application.

If you have any questions or comments, please give me a call.

Very truly yours,



Timothy N. Trop

TNT:lo  
Enclosures  
IPQRC Form  
10 Pt. Check List  
Original Disclosure  
Draft Patent Application